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| Substitute for form 1449B/PTO |   |    |   | <b>Complete if Known</b> |                     |
|                               |   |    |   | Application Number       | 10/533,843          |
|                               |   |    |   | Filing Date              | May 4, 2005         |
|                               |   |    |   | First Named Inventor     | Hagen, Frederick S. |
|                               |   |    |   | Art Unit                 | 1646                |
|                               |   |    |   | Examiner Name            | Zachary C. Howard   |
| Sheet                         | 1 | of | 6 | Attorney Docket Number   | 017881-001110US     |

| <b>U.S. PATENT DOCUMENTS</b> |                       |  |                                |  |   |
|------------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*           | Cite No. <sup>1</sup> | Document Number<br>Number Kind Code <sup>2</sup> ( <i>If known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                              | AA                    | US-5,604,102   | 02-18-1997                     | McConlogue et al.                                  |   |
|                              | AB                    | US-5,658,754   | 08-19-1997                     | Kawasaki   |   |
|                              | AC                    | US-6,153,380   | 11-28-2000                     | Nolan et al.                                       |   |
|                              | AD                    | US-6,175,057   | 01-16-2001                     | Mucke et al.                                       |   |
|                              | AE                    | US-6,267,962   | 07-31-2001                     | Hart et al.  |   |
|                              | AF                    | US-6,365,634   | 04-02-2002                     | Russell et al.                                     |   |
|                              | AG                    | US-6,420,110   | 07-16-2002                     | Gyuris et al.                                      |   |
|                              | AH                    | US-6,440,698   | 08-27-2002                     | Gurney et al.                                      |   |
|                              | AI                    | US-2002/0127564  | 09-12-2002                     | Nolan  |   |
|                              | AJ                    | US-2007/0099185  | 05-03-2007                     | Hagen et al.                                       |   |

| <b>FOREIGN PATENT DOCUMENTS</b> |                       |                           |                     |   |                                |  |
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| Examiner Initials*              | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |
|                                 |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>( <i>If known</i> ) |                                |  |
|                                 | AK                    | EP                        | 0 320 308           | A2  | 06-14-1989                     | Biotechnica International,<br>Inc.                 |
|                                 | AL                    | PCT                       | WO 98/21589         | A1  | 05-22-1998                     | University of Pennsylvania                         |
|                                 | AM                    | PCT                       | WO 98/39483         | A1  | 09-11-1998                     | Ventana Genetics, Inc.                             |
|                                 | AN                    | PCT                       | WO 99/24617         | A1  | 05-20-1999                     | Ventana Genetics, Inc.                             |
|                                 | AO                    | PCT                       | WO 01/49097         | A2  | 07-12-2001                     | Bienkowski et al.                                  |
|                                 | AP                    | PCT                       | WO 03/57165         | A2  | 07-17-2003                     | The Rockefeller University                         |
|                                 | AQ                    | PCT                       | WO 04/18997         | A2  | 03-04-2004                     | Neurogenetics, Inc.                                |
|                                 | AR                    | PCT                       | WO 04/42074         | A2  | 05-21-2004                     | Icogen Corp.                                       |

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| <b>NON PATENT LITERATURE DOCUMENTS</b> |                       |   |                          |  |
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|  | AS                    | BLACK <i>et al.</i> , "A metalloproteinase disintegrin that releases tumour-necrosis factor-alpha from cells," <i>Nature</i> 385:729-733 (1997).  | <input type="checkbox"/> |  |
|  | AT                    | BROWN, " Metal-recognition by repeating polypeptides," <i>Nat. Biotechnol.</i> 15:269-272 (1997).   | <input type="checkbox"/> |  |
|  | AU                    | BUTLER, "Solid supports in enzyme-linked immunosorbent assay and other solid-phase immunoassays," <i>Methods</i> 22:4-23 (2000).  | <input type="checkbox"/> |  |
|  | AV                    | CEDAZO-MINGUEZ <i>et al.</i> , "Nicergoline stimulates protein kinase C mediated $\alpha$ -secretase processing of the amyloid precursor protein in cultured human neuroblastoma SH-SY5Y cells," <i>Neurochem. Int.</i> 35:307-315 (1999).  | <input type="checkbox"/> |  |
|  | AW                    | CULL <i>et al.</i> , "Screening for receptor ligands using large libraries of peptides linked to the C terminus of the lac repressor," <i>Proc. Natl. Acad. Sci. USA</i> 89:1865-1869 (1992).   | <input type="checkbox"/> |  |
|  | AX                    | DE STROOPER <i>et al.</i> , "Exchanging the extracellular domain of amyloid precursor protein for horseradish peroxidase does not interfere with $\alpha$ -secretase cleavage of the $\beta$ -amyloid region, but randomizes secretion in Madin-Darby canine kidney cells," <i>J. Biol. Chem.</i> 270:30310-30314 (1995). | <input type="checkbox"/> |  |
|  | AY                    | FIELDS and SONG, "A novel genetic system to detect protein-protein interactions," <i>Nature</i> 340:245-246 (1989).   | <input type="checkbox"/> |  |
|  | AZ                    | GAMES <i>et al.</i> , "Alzheimer-type neuropathology in transgenic mice overexpressing V717F $\beta$ -amyloid precursor protein," <i>Nature</i> 373:523-527 (1995).   | <input type="checkbox"/> |  |
|  | BA                    | GILCHRIST <i>et al.</i> , "Use of peptides-on-plasmids combinatorial library to identify high-affinity peptides that bind rhodopsin," <i>Methods Enzymol.</i> 315:388-404 (2000).   | <input type="checkbox"/> |  |
|  | BB                    | GOTZ <i>et al.</i> , "Formation of neurofibrillary tangles in P301L tau transgenic mice induced by A $\beta$ 42 fibrils," <i>Science</i> 293:1491-1495 (2001).  | <input type="checkbox"/> |  |

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|                                 | BC                    | HARTLEY <i>et al.</i> , "Protofibrillar intermediates of amyloid $\beta$ -protein induce acute electrophysiological changes and progressive neurotoxicity in cortical neurons," <i>J. Neurosci.</i> 19:8876-8884 (1999).   |  |  |  | <input type="checkbox"/> |
|                                 | BD                    | HSIAO <i>et al.</i> , "Correlative memory deficits, A $\beta$ elevation, and amyloid plaques in transgenic mice," <i>Science</i> 274:99-102 (1996).  |  |  |  | <input type="checkbox"/> |
|                                 | BE                    | KANG <i>et al.</i> , "The precursor of Alzheimer's disease amyloid A4 protein resembles a cell-surface receptor," <i>Nature</i> 325:733-736 (1987).  |  |  |  | <input type="checkbox"/> |
|                                 | BF                    | KAWARABAYASHI <i>et al.</i> , "Age-dependent changes in brain, CSF, and plasma amyloid $\beta$ protein in the Tg2576 transgenic mouse model of Alzheimer's disease," <i>J. Neurosci.</i> 21:372-381 (2001).  |  |  |  | <input type="checkbox"/> |
|                                 | BG                    | KINSELLA <i>et al.</i> "Retrovirally delivered random cyclic peptide libraries yield inhibitors of interleukin-4 signaling in human B cells," <i>J. Biol. Chem.</i> 277:37512-37518 (2002).  |  |  |  | <input type="checkbox"/> |
|                                 | BH                    | KJAERGAARD <i>et al.</i> , "Novel Zn <sup>2+</sup> -chelating peptides selected from a fimbria-displayed random peptide library," <i>Appl. Environ. Microbiol.</i> 67:5467-5473 (2001).  |  |  |  | <input type="checkbox"/> |
|                                 | BI                    | LABEAN and KAUFFMAN, "Design of synthetic gene libraries encoding random sequence proteins with desired ensemble characteristics," <i>Protein Sci.</i> 2:1249-1254 (1993).   |  |  |  | <input type="checkbox"/> |
|                                 | BJ                    | LAMMICH <i>et al.</i> , "Constitutive and regulated $\alpha$ -secretase cleavage of Alzheimer's amyloid precursor protein by a disintegrin metalloprotease," <i>Proc. Natl. Acad. Sci. USA</i> 96:3922-3927 (1999).  |  |  |  | <input type="checkbox"/> |
|                                 | BK                    | LOPEZ-PEREZ <i>et al.</i> , "Proprotein convertase activity contributes to the processing of the Alzheimer's $\beta$ -amyloid precursor protein in human cells: evidence for a role of the prohormone convertase PC7 in the constitutive $\alpha$ -secretase pathway," <i>J. Neurochem.</i> 73:2056-2062 (1999). |  |  |  | <input type="checkbox"/> |
|                                 | BL                    | LU <i>et al.</i> , "Expression of thioredoxin random peptide libraries on the <i>Escherichia coli</i> cell surface as functional fusions to flagellin: a system designed for exploring protein-protein interactions," <i>Biotechnology</i> 13:366-372 (1995).  |  |  |  | <input type="checkbox"/> |
|                                 | BM                    | MASSAGUE and PANDIELLA, "Membrane-anchored growth factors," <i>Ann. Rev. Biochem.</i> 62:515-541 (1993).   |  |  |  | <input type="checkbox"/> |

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|  | BN                    | MAZUR-KOLECKA <i>et al.</i> , "Accumulation of Alzheimer amyloid-peptide in cultured myocytes is enhanced by serum and reduced by cerebrospinal fluid," <i>J. Neuropathol. Exp. Neurol.</i> 56(3):263-272, Abstract only, (1997).                                      |  |  | <input type="checkbox"/> |
|  | BO                    | MILLER <i>et al.</i> , "Use of retroviral vectors for gene transfer and expression," <i>Methods Enzymol.</i> 217:581-599 (1993).   |  |  | <input type="checkbox"/> |
|  | BP                    | MULLAN <i>et al.</i> , "A pathogenic mutation for probable Alzheimer's disease in the APP gene at the N-terminus of β-amyloid," <i>Nat. Genet.</i> 1:345-347 (1992).   |  |  | <input type="checkbox"/> |
|  | BQ                    | MULLBERG <i>et al.</i> , "The soluble human IL-6 receptor. Mutational characterization of the proteolytic cleavage site," <i>J. Immunol.</i> 152:4958-4968 (1994).   |  |  | <input type="checkbox"/> |
|  | BR                    | MULLBERG <i>et al.</i> , "A metalloprotease inhibitor blocks shedding of the IL-6 receptor and the p60 TNF receptor," <i>J. Immunol.</i> 155:5198-5205 (1995).   |  |  | <input type="checkbox"/> |
|  | BS                    | MURTHY, "Characterization of the interleukin 3 receptor," <i>Exp. Hematol.</i> 18(1):11-17 (1990).   |  |  | <input type="checkbox"/> |
|  | BT                    | NILSBERTH <i>et al.</i> , "The 'Arctic' APP mutation (E693G) causes Alzheimer's disease by enhanced Aβ protofibril formation," <i>Nat. Neurosci.</i> 4:887-893 (2001).   |  |  | <input type="checkbox"/> |
|  | BU                    | NILSSON <i>et al.</i> , "α-1-antichymotrypsin promotes β-sheet amyloid plaque deposition in a transgenic mouse model of Alzheimer's disease," <i>J. Neurosci.</i> 21:1444-1451 (2001).   |  |  | <input type="checkbox"/> |
|  | BV                    | NORMAN <i>et al.</i> , "Genetic selection of peptide inhibitors of biological pathways," <i>Science</i> 285:591-595 (1999).  |  |  | <input type="checkbox"/> |
|  | BW                    | PARVATHY <i>et al.</i> , "Alzheimer's amyloid precursor protein α-secretase is inhibited by hydroxamic acid-based zinc metalloprotease inhibitors: similarities to the angiotensin converting enzyme secretase," <i>Biochemistry</i> 37:1680-1685 (1998).              |  |  | <input type="checkbox"/> |
|  | BX                    | PONCET, "CD24, a glycosylphosphatidylinositol-anchored molecules is transiently expressed during the development of human central nervous system and is a marker of human neural cell lineage tumors," <i>Acta. Neuropathol.</i> 91(4):400-408, Abstract only, (1996). |  |  | <input type="checkbox"/> |

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|                                 | BY                    | PORTEU and NATHAN, "Shedding of tumor necrosis factor receptors by activated human neutrophils," <i>J. Exp. Med.</i> 172:599-607 (1990).  |  | <input type="checkbox"/> |
|                                 | BZ                    | RAMCHANDRAN and SEN, "Cleavage processing of angiotensin-converting enzyme by a membrane-associated metalloprotease," <i>Biochemistry</i> 34:12645-12652 (1995)   |  | <input type="checkbox"/> |
|                                 | CA                    | RIAN <i>et al.</i> , "A signal sequence trap based on cell enrichment using anti-CD19 antibody coated magnetic beads," <i>Scand. J. Immunol.</i> 54:280-284 (2001).   |  | <input type="checkbox"/> |
|                                 | CB                    | SCOTT and SMITH, "Searching for peptide ligands with an epitope library," <i>Science</i> 249:386-390 (1990).  |  | <input type="checkbox"/> |
|                                 | CC                    | SELKOE, "The cell biology of $\beta$ -amyloid precursor protein and presenilin in Alzheimer's disease," <i>Trends Cell Biol.</i> 8:447-453 (1998).  |  | <input type="checkbox"/> |
|                                 | CD                    | SELKOE, "Alzheimer's disease: genes, proteins, and therapy," <i>Physiol. Rev.</i> 81:741-766 (2001).  |  | <input type="checkbox"/> |
|                                 | CE                    | SELKOE, "Alzheimer's disease is a synaptic failure," <i>Science</i> 298:789-791 (2002).   |  | <input type="checkbox"/> |
|                                 | CF                    | SINHA, <i>et al.</i> , "Purification and cloning of amyloid precursor protein $\beta$ -secretase from human brain," <i>Nature</i> 402:537-540 (1999).   |  | <input type="checkbox"/> |
|                                 | CG                    | SISODIA, " $\beta$ -amyloid precursor protein cleavage by a membrane-bound protease," <i>Proc. Natl. Acad. Sci. USA</i> 89:6075-6079 (1992).  |  | <input type="checkbox"/> |
|                                 | CH                    | STEINER and HAASS, "Intramembrane proteolysis by presenilins," <i>Nat. Rev. Mol. Cell. Biol.</i> 1:217-224 (2000).  |  | <input type="checkbox"/> |
|                                 | CI                    | TANAKA <i>et al.</i> , "Downregulation of Fas ligand by shedding," <i>Nature Med.</i> 4:31-36 (1998).   |  | <input type="checkbox"/> |
|                                 | CJ                    | VIJAYENDRAN and LECKBAND, "A quantitative assessment of heterogeneity for surface-immobilized proteins," <i>Anal. Chem.</i> 73:471-480 (2001).  |  | <input type="checkbox"/> |

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|  | CK                    | WESTERMAN <i>et al.</i> , "The relationship between A $\beta$ and memory in the Tg2576 mouse model of Alzheimer's disease," <i>J. Neurosci.</i> 22:1858-1867 (2002). <input type="checkbox"/>   |  |  |
|  | CL                    | WILSON <i>et al.</i> , "The use of mRNA display to select high-affinity protein-binding peptides," <i>PNAS</i> 98:3750-3755 (2001). <input type="checkbox"/>  |  |  |

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61148722 v ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /ZH/